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OCT 21 2005

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8
 I hereby certify that this correspondence, totaling 16 pages including redfiled attachments, is being facsimile transmitted to the United States Patent and Trademark Office at facsimile no.: 571-273-8300 (Central number) on the below date:
 Date: October 21, 2005 Name: James L. Katz Signature: 

BRINKS
HOFER
GILSON
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Neal Brady et al.
 Appln. No.: 10/001,237
 Filed: October 30, 2001
 For: NETWORK AND METHOD FOR TRADING DERIVATIVES

Examiner: F. Poinvil

Art Unit: 3628

Attorney Docket No: 4672/363

Mail Stop AF
 Commissioner for Patents
 P. O. Box 1450
 Alexandria, VA 22313-1450

TRANSMITTAL

Attached is/are:

- ☒ Response and Amendment After Final
☐ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
☐ Small Entity.
☐ An extension fee in an amount of \$ _____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
☐ A petition or processing fee in an amount of \$ _____ under 37 C.F.R. § 1.17(____).
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+ \$360=	
					Total	\$		Total	\$

Fee payment:


- ☐ A check in the amount of \$ _____ is enclosed.
☐ Please charge Deposit Account No. 23-1925 in the amount of \$ _____. A copy of this Transmittal is enclosed for this purpose.
☐ Payment by credit card in the amount of \$ _____ (Form PTO-2038 is attached).
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

Date: 10/21/05


 James L. Katz (Reg. No. 42,711)

OCT 21 2005

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Our Case No. 4672/363**RESPONSE UNDER 37 CFR § 1.116**
EXPEDITED PROCEDURE
EXAMINING GROUP 3628**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:)	
)	
Neal Brady et al.)	
)	Examiner: F. Poinvil
Serial No. 10/001,237)	
)	Group Art Unit No. 3628
Filing Date: October 30, 2001)	
)	
For NETWORK AND METHOD FOR)	
TRADING DERIVATIVES)	

RESPONSE AND AMENDMENT AFTER FINAL

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Final Office Action mailed October 7, 2005, please consider the following amendment and remarks.